



### Sanction Definitions

**Warning:** A letter that informs the State that its program is not conducting its business in compliance with Title XI and ASC Policy Statements.

**Training for Board Members:** Requiring all sitting Board members to attend a workshop regarding a specific topic, usually the role of a State appraiser regulatory agency. May also include training in another topic such as USPAP.

**Training for State staff:** Requiring some or all State Board staff to attend a workshop regarding a specific topic, such as investigator training or USPAP.

**Consultation with State authorities:** ASC staff and possibly agency members contacting State officials such as the Governor's office or State legislative members. Contact may be in writing or in person.

**Meeting with affected parties:** ASC staff and possibly State agency members contacting parties such as State Banking Commissions and real estate broker/appraiser trade organizations.

**Expedited Review:** Scheduling a Compliance Review or Follow-up Review before the usual two-year period. May be electronic.

**Continuous Monitoring:** Requiring reports on required or agreed-upon corrective actions on a monthly or quarterly basis. Additional monitoring may include on-site monitoring as well as off-site monitoring.

**Require State use of Voluntary Disciplinary Sanction Matrix:** Requiring the State to use the Matrix in determining sanctions for enforcement of appraiser complaints.

**Interim suspension of credential:** May be an individual credential or group of credentials within a State.

**Revocation of credential:** May be an individual credential or group of credentials within a State.

**Interim derecognition:** Derecognizing some or all of the credentials issued by the State. Also, no new credentials will be issued; no upgrades will be issued to specific credential(s); and no temporary practice permits will be issued.

**Derecognition:** Removal of credentials from the National Registry issued by a State and not accepting any new credentials from that State. As a result, there would be no appraisals of property within that State that could be used for federally related transactions.